

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/655,997	09/05/2003	Jon P. Daley	MI22-2380	7524		
21567	7590 12/16/2005		EXAM	EXAMINER		
WELLS ST.		PERKINS, PAMELA E				
	T AVENUE, SUITE 1300	ART UNIT	PAPER NUMBER			
SPOKANE,	WA 99201		***	FAFER NUMBER		
			2822			
			DATE MAILED: 12/16/2003	DATE MAILED: 12/16/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

		Applicat	ion No.	Applicant(s)				
Office Action Summary		10/655,9	997	DALEY, JON P.	$(\omega_{\mathcal{N}})$			
		Examine	er	Art Unit				
	_	Pamela I	E. Perkins	2822				
	DATE of this communic	ation appears on th	ne cover sheet with	the correspondence addre	ess			
Period for Reply								
WHICHEVER IS LON - Extensions of time may be a after SIX (6) MONTHS from - If NO period for reply is spec - Failure to reply within the se	GER, FROM THE MA rvailable under the provisions of the mailing date of this communicified above, the maximum statut t or extended period for reply we ffice later than three months after	ALING DATE OF T f 37 CFR 1.136(a). In no e nication. utory period will apply and ill, by statute, cause the ap	HIS COMMUNICA event, however, may a reply will expire SIX (6) MONTH: oplication to become ABAN	y be timely filed  S from the mailing date of this comm IDONED (35 U.S.C. § 133).				
Status								
1) Responsive to a	communication(s) filed	on 01 December	2005					
2a) This action is F	` '	o)⊠ This action is						
/ <del></del>	<del>-</del>							
	dance with the practice	·		•				
Disposition of Claims	·	·	•					
4)⊠ Claim(s) 1-4 an	d 7-77 is/are pending	in the application.						
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)⊠ Claim(s) <u>18,51</u>	and 71 is/are allowed.							
6)⊠ Claim(s) <u>1-4,7-</u>	17,19-50,52-70 and 72	<u>?-77</u> is/are rejected						
7) Claim(s)	is/are objected to.				٠			
8) Claim(s)	are subject to restricti	on and/or election	requirement.					
Application Papers					:			
9) The specification	n is objected to by the	Examiner.						
10)☐ The drawing(s) t	iled on is/are:	a)⊡ accepted or b	o)  objected to by	the Examiner.				
Applicant may no	t request that any object	ion to the drawing(s)	be held in abeyance	e. See 37 CFR 1.85(a).				
Replacement dra	wing sheet(s) including t	he correction is requ	ired if the drawing(s)	is objected to. See 37 CFR	1.121(d).			
11)☐ The oath or dec	aration is objected to I	by the Examiner. N	lote the attached C	Office Action or form PTO	-152.			
Priority under 35 U.S.C.	§ 119							
12) Acknowledgmer a) All b) Sor		or foreign priority u	nder 35 U.S.C. § 1	19(a)-(d) or (f).				
1. Certified	copies of the priority d	ocuments have be	en received.					
2. Certified	copies of the priority d	ocuments have be	en received in App	lication No				
3. ☐ Copies of	the certified copies of	f the priority docum	nents have been re	ceived in this National St	age			
	n from the Internation	•	` ''					
* See the attached	detailed Office action	for a list of the cer	tified copies not re	ceived.				
Attach mant/a)								
Attachment(s)  1) Notice of References Cite	ed (PTO-892)		4) Intention Sum	nmary (PTO-413)				
2) 🔲 Notice of Draftsperson's I	Patent Drawing Review (PT		Paper No(s)/N	Mail Date				
Information Disclosure St     Paper No(s)/Mail Date	atement(s) (PTO-1449 or P 	TO/SB/08)	5) Notice of Infor	rmal Patent Application (PTO-1	52)			

### **DETAILED ACTION**

This office action is in response to the filing of the RCE on 1 December 2005.

Claims 1-4 and 7-77 are pending.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-4, 7, 12, 17, 21, 22, 30, 37-40, 45, 50, 53, 60, 61, 65, 70 and 72-76 are rejected under 35 U.S.C. 103(a) as being unpatentable over Buxbaum et al. (6,582,861) in view of Nagata et al. (6,956,980).

Referring to claims 1, 21, 30 and 53, Buxbaum et al. disclose a method of forming a patterned photoresist layer over a semiconductor substrate where an antireflective coating (106) is deposited over the semiconductor substrate (102), the antireflective coating (106) having an outer surface, the outer surface comprising a silicon nitride-containing material; applying a positive photoresist (108) onto the outer surface (col. 1, lines 55-68; col. 4, lines 1-16); patterning and developing the positive photoresist (108) effective to form a patterned photoresist layer having increased footing at a base region (col. 4, lines 25-28; col. 7, lines 32-35).

Buxbaum et al. do not disclose treating the outer surface with a basic fluid.

Art Unit: 2822

Nagata et al. disclose a method of forming a patterned photoresist layer over a semiconductor substrate where a silicon oxide layer (144) is deposited over the semiconductor substrate (141), the silicon oxide layer (144) having an outer surface; and applying a photoresist onto the outer surface (col. 1, lines 23-55). Nagata et al. further disclose treating the outer surface with amine, a basic fluid (col. 2, lines 15-21).

Since Buxbaum et al. and Nagata et al. are both from the same field of endeavor, a method of forming a patterned photoresist layer, the purpose disclosed by Nagata et al. would have been recognized in the pertinent art of Buxbaum et al. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Buxbaum et al. by treating the outer surface with a basic fluid as taught by Nagata et al. to improve adhesive between the silicon oxide layer and the photoresist (col. 2, lines 15-21).

Referring to claims, 2, 37, 60 and 75, Buxbaum et al. disclose the outer surface as organic (col. 4, lines 1-16).

Referring to claims 3, 38 and 61, Buxbaum et al. disclose the outer surface as inorganic (col. 4, lines 1-16).

Referring to claims 4, 39 and 76, Buxbaum et al. disclose the outer surface as silicon nitride (col. 4, lines 1-16).

Referring to claims 7, 40 and 72-74, Nagata et al. disclose the outer surface as silicon oxide (col. 1, lines 23-55).

Referring to claims 12, 45 and 65, Nagata et al. disclose the basic treating fluid is gaseous (col. 2, lines 15-21).

Art Unit: 2822

Referring to claims 17, 50 and 70, Nagata et al. disclose the basic treating fluid as amine, an alkyl amine (col. 2, lines 15-21).

Referring to claim 22, Buxbaum et al. disclose the photoresist as a negative photoresist (col. 1, lines 55-58).

Claims 8-11, 14, 15, 19, 20, 23-29, 31-36 41-44, 47, 48, 52, 54-59, 62-64, 67, 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over Buxbaum et al. in view of Nagata et al. as applied to claim1, 30 and 53 above, and further in view of Duval (5,955,244).

Buxbaum et al. in view of Nagata et al. disclose the subject matter claimed above expect the outer surface comprising silicon carbide, the basic treating fluid has a pH of at least 10.5, the basic treating fluid is liquid, treating is for no more than 1 minute, wherein the outer surface is reflective of incident radiation used in the patterning of the photoresist.

Duval discloses a method of forming a patterned photoresist layer over a semiconductor substrate where an antireflective coating deposited over a semiconductor substrate (20), the antireflective coating having an outer surface (col. 7, lines 20-25); treating the outer surface with a basic fluid (col. 7, lines 25-29); applying a photoresist onto the outer surface which has been treated with the basic treating fluid (col. 7, lines 34-41); and patterning and developing the photoresist effective to form a patterned photoresist layer (22) (col. 8, lines 1-14).

Application/Control Number: 10/655,997

Art Unit: 2822

Referring to claims 8, 41 and 77, Duval discloses the outer surface comprising silicon carbide (col. 4, lines 30-34).

Referring to claims 9, 10, 42, 43, 62 and 63, Duval discloses the basic treating fluid has a pH of at least 10.5 (col. 2, lines 62-63).

Referring to claims 11, 27, 35, 44, 58 and 64, Duval discloses the basic treating fluid is liquid (col. 5, lines 59-61).

Referring to claims 14, 47 and 67, Duval discloses the basic treating fluid comprises potassium hydroxide (col. 5, lines 5-14).

Referring to claims 15, 48 and 68, Duval discloses the basic treating fluid comprises sodium hydroxide (col. 5, lines 5-14).

Referring to claims 19 and 20, Duval discloses treating is for no more than 1 minute (col. 5, lines 65-67).

Referring to claims 23, 31 and 54, Duval discloses not exposing the outer surface to any liquid intermediate the treating and the applying (col. 4, lines 50-60).

Referring to claims 24, 25, 28, 32, 33, 36, 55, 56 and 59, Duval discloses drying outer surface intermediate the treating and the applying (col. 5, line 67 thru col.6, line 4).

Referring to claims 26, 34 and 57, Duval discloses not exposing the outer surface to any liquid intermediate the treating and the applying; and the outer surface is at least partially dried intermediate the treating and the applying (col. 4, lines 50-60; col. 5, line 67 thru col. 6, line 4).

Referring to claims 29 and 52, Duval discloses the outer surface is reflective of incident radiation used in said patterning of the photoresist (col. 3, lines 29-41).

Application/Control Number: 10/655,997

Art Unit: 2822

Since Buxbaum et al. and Duval are both from the same field of endeavor, a method of forming a patterned photoresist layer over a semiconductor substrate, the purpose disclosed by Duval would have been recognized in the pertinent art of Buxbaum et al. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Buxbaum et al. by the outer surface comprising silicon carbide, the basic treating fluid has a pH of at least 10.5, the basic treating fluid is liquid, treating is for no more than 1 minute, wherein the outer surface is reflective of incident radiation used in the patterning of the photoresist as taught by Duval to prevent reaction between the photoresist and the substrate (col. 1, lines 35-44).

Claims 13, 46, 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Buxbaum et al. in view of Nagata et al. as applied to claims 1, 30 and 53 above, and further in view of Oberlander et al. (6,844,131).

Buxbaum et al. in view of Nagata et al. disclose the subject matter claimed above except the basic treating fluid comprising tetramethyl ammonium hydroxide.

Oberlander et al. disclose a method of forming a patterned photoresist layer over a semiconductor substrate where an antireflective coating deposited over a semiconductor substrate, the antireflective coating having an outer surface; treating the outer surface; and applying a photoresist onto the outer surface which has been treated (col. 13, lines 8-32).

Application/Control Number: 10/655,997

Art Unit: 2822

Referring to claims 13, 46 and 66, Oberlander et al. disclose treating the outer surface with tetramethyl ammonium hydroxide (col. 14, lines 2-15).

Since Buxbaum et al. and Oberlander et al. are both from the same field of endeavor, a method of forming a patterned photoresist layer over a semiconductor substrate, the purpose disclosed by Oberlander et al. would have been recognized in the pertinent art of Buxbaum et al. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Buxbaum et al. by treating the outer surface with tetramethyl ammonium hydroxide as taught by Oberlander et al. to optimize the photoresist (col. 13, lines 25-29).

Claims 16, 49 and 69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Buxbaum et al. in view of Nagata et al. as applied to claims 1, 30 and 53 above, and further in view of Sahbari (6,350,560).

Buxbaum et al. in view of Nagata et al. disclose the subject matter claimed above except the basic treating fluid comprising ammonium fluoride.

Sahbari discloses a method of forming a patterned photoresist layer over a semiconductor substrate where an antireflective coating deposited over a semiconductor substrate, the antireflective coating having an outer surface; and treating the outer surface (col. 2, lines 64-67).

Referring to claims 16, 49 and 69, Sahbari discloses treating the other surface with ammonium fluoride (col. 1, line 65 thru col. 2, line 1).

Art Unit: 2822

Since Buxbaum et al. and Sahbari are both from the same field of endeavor, a method of forming a patterned photoresist layer over a semiconductor substrate, the purpose disclosed by Sahbari would have been recognized in the pertinent art of Buxbaum et al. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Buxbaum et al. by treating the other surface with ammonium fluoride as taught by Sahbari to prevent corrosion of the substrate (col. 2, lines 43-51).

## Allowable Subject Matter

Claims 18, 51 and 71 are allowed.

The following is a statement of reasons for the indication of allowable subject matter: prior art does not anticipate, teach, or suggest performing the basic treating fluid is at room ambient temperature and room ambient pressure.

#### Response to Arguments

Applicant's arguments with respect to claims 1-4 and 7-77 have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pamela E. Perkins whose telephone number is (571)

Application/Control Number: 10/655,997 Page 9

Art Unit: 2822

272-1840. The examiner can normally be reached on Monday thru Friday, 8:30am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Zandra Smith can be reached on (571) 272-2429. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

PEP

SPE 2814.